

part of eex group



Application for
approval as a
Trading Participant in
SEMOpX Power
Contracts
(3rd party unit(s)
under trading and
settlement code)

Required in single copy

04.04.2022

Leipzig

Ref. TP_SEMOPX_01b

Release 004

- only required as scan -

Preliminary remark

With this form you are applying for approval as a Trading Participant in SEMOpX Power Contracts (Contracts at the Day-Ahead and Intra-Day Market) at European Commodity Clearing AG (ECC) in accordance with the Clearing Conditions of ECC.

The physical settlement of SEMOpX Power Contracts will be effected by European Commodity Clearing Luxembourg S.à r.l. (ECC Lux) a subsidiary of ECC. Both ECC and European Commodity Clearing Luxembourg S.à r.l. will be mentioned in this form as ECC.

Approval as a Trading Participant requires

- (a) participation in clearing as a Clearing Member, Non-Clearing Member or Direct Clearing Participant Clearing Member for the market, where the respective product is being traded,
- (b) admission as an exchange participant at the market or a comparable and by ECC as equivalent acknowledged access to the market, where the respective product is being traded
- (c) proof of the capability for unit imbalance settlement under the Trading and Settlement Code and
- (d) the declaration of consent of the owner of the relevant registered unit(s) under the Trading and Settlement Code to be used for physical settlement.

Approval involves the following steps:

1. Please fill in the application form for approval as a Trading Participant. Both you and the nominated 3rd Party shall sign the application form in a legally binding manner.
2. Send this application in scanned version to the following E-Mail address:

MemberReadiness@ecc.de
Phone: +49 341 24680 261

3. ECC shall decide on the application regarding approval as a Trading Participant and inform the applicant and the SEMOpX thereof.

Application

We hereby apply for approval as a Trading Participant in SEMO Power Contracts according to the Clearing Conditions of ECC.

- ☐ SEMOpX-Power Day-Ahead and Intra-Day Market (auction) - Republic of Ireland
- ☐ SEMOpX-Power Intra-Day Market (continuous trading) - Republic of Ireland
- ☐ SEMOpX-Power Day-Ahead and Intra-Day Market (auction) - Northern Ireland
- ☐ SEMOpX-Power Intra-Day Market (continuous trading) - Northern Ireland

The legal basis for approval as a Trading Participant is set out in:

- this application,
- the Clearing Conditions of ECC,
- the Clearing Agreement (Clearing Member) or NCM Agreement (Non-Clearing Member) and
- the price list of ECC.

By signing this application we confirm that we are familiar with the above mentioned rules, agreements and regulations and that we acknowledge, observe and comply with these. The respective current version of said documents can be downloaded from the ECC website at www.ecc.de.

Applicant

Member-ID: *				
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="E"/>	<input type="text" value="X"/>

* Need to get filled only in case you are already Member at ECC. NEW members can leave it blank.

Name of the Company and Company Register	
Name of the Company according to excerpt from company register	Legal form
Tax number	Sales tax identification number / VAT number

Emergency Contact / Nominations / 24/7

Contact person
Name or department
Telephone number
Fax
E-mail

Information regarding the relevant registered unit(s) under the Trading and Settlement Code	
Owner or Intermediary of the relevant registered unit(s) under the Trading and Settlement Code	Name of the Company according to excerpt from company register
	Address
	Contact person
	Telephone number

VAT details

VAT details are only required if a classification for the purpose of VAT treatment has not taken place previously. We have attached the form TP07 "VAT Details" with this application if applicable.

Declarations

1. We hereby confirm that we will acknowledge, observe and comply with the rules, agreements and regulations of ECC (in particular the Clearing Conditions) and the rules and regulations of SEMOPx in their respective valid versions.
2. If the SEMO rejects the schedules nominated by ECC for the physical settlement of our transactions in SEMOPx Power Contracts, because we failed to provide the capability for unit imbalance settlement under the Trading and Settlement Code according to its terms and conditions, we will hold ECC harmless from any damages caused by this rejection. We will undertake appropriate actions to solve the cause of the rejection, immediately after being informed.
3. We assure that we will inform ECC immediately with regard to the loss of the capability for unit imbalance settlement under the Trading and Settlement Code. This shall apply especially in the case of the suspension or termination of unit(s) under the Trading and Settlement Code or in case of insufficient collaterals provided to the respective operator.
4. We hereby provide our consent with the forwarding of information by ECC regarding physical delivery to SEMO.
5. We hereby authorize the SEMO to inform ECC with regard to the relevant registered unit(s) under the Trading and Settlement Code as well as about the status of our nominated schedules and deliveries.

PRIVACY NOTICE

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the Trading Participant:

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):
-------	------	---

Declarations by the owner or intermediary of the designated unit(s) (3rd party)

1. We give our consent, that our unit(s) will be used for the unit imbalance settlement under the Trading and Settlement Code of the Trading Participant's transactions in Power Spot Contracts in accordance with the Clearing Conditions of ECC and the rules and regulations of SEMOpX. We may revoke this consent at the end of a calendar month with a notice period of 7 business days.
2. We grant ECC the irrevocable authority to perform single sided and binding nominations to SEMO for the purpose of settlement of our transactions in the SEM.
3. If the SEMO rejects the schedules nominated by ECC for the unit imbalance settlement under the Trading and Settlement Code of the Trading Participant's transactions in SEMOpX Power Contracts, because we failed to provide the capability for unit imbalance settlement under the Trading and Settlement Code according to its terms and conditions, we will undertake appropriate actions to solve the cause of the rejection immediately after being informed.
4. We assure that we will inform ECC immediately with regard to the loss of the capability for unit imbalance settlement under the Trading and Settlement Code. This shall apply especially in the case of deregistration, suspension or termination of unit(s).
5. We hereby declare our consent for the forwarding of information by ECC regarding physical delivery to SEMO.
6. We hereby authorise SEMO to inform ECC with regard to our unit(s) under the Trading and Settlement Code as well as about the status of our nominated schedules and deliveries.

PRIVACY NOTICE

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the stock exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the owner or intermediary of the designated unit(s) (Third party):

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):
-------	------	---